08-30-01 A

REV. 04/01
For Other Than A Small Entity

Attorney Docket No. CF/031

Applicants      :    Howard W. Lutnick and
                     Glenn D. Kirwin
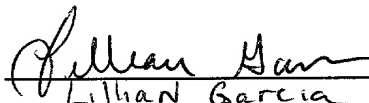
For             :    SYSTEMS AND METHODS FOR PROVIDING
                     INTERACTIVE ASSISTANCE ON PURCHASE
                     DECISION-MAKING

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EI125458585US.

Date of Deposit   August 28, 2001                      .

        I hereby certify that this transmittal letter and
the other papers and fees identified in this transmittal
letter as being transmitted herewith are being deposited with
the United States Postal Service "Express Mail Post Office to
Addressee" service under 37 C.F.R. § 1.10 on the date
indicated above and are addressed to the Commissioner for
Patents, Washington, D.C. 20231.

                              Lillian Garcia

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR UNEXECUTED
ORIGINAL PATENT APPLICATION

Sir:

        Transmitted herewith for filing are the
[X] specification; [X] claims; [X] abstract; [X] unexecuted
declaration and power of attorney, for the above-identified
patent application.

        Also transmitted herewith are:

[X] 5  sheets of:

    [X]   Formal drawings.

[ ]  Informal drawings.  Formal drawings will be filed
     during the pendency of this application.

[ ]  Certified copy(ies) of application(s)

| (country) | (appln. no.) | (filed) |
| --- | --- | --- |
| (country) | (appln. no.) | (filed) |
| (country) | (appln. no.) | (filed) |

from which priority is claimed.

[ ]  An assignment of the invention to _____ .

     [ ]  A check in the amount of $40.00 to cover the
          recording fee.

     [ ]  Please charge $40.00 to Deposit Account No. 06-1075
          in payment of the recording fee.  A duplicate copy
          of this transmittal letter is transmitted herewith.

[ ]  An associate power of attorney.

     The filing fee has been calculated as shown below:

| FOR | NUMBER FILED | | NUMBER EXTRA | RATE | FEE |
| --- | --- | --- | --- | --- | --- |
| BASIC FEE | | | | | $710.00 |
| TOTAL CLAIMS | 20 - | 20 = | 0 | X $18 = | $ 0.00 |
| INDEPENDENT CLAIMS | 3 - | 3 = | 0 | X $80 = | $ 0.00 |
| [ ] MULTIPLE DEPENDENT CLAIMS | | | | + $270 = | $ 0.00 |
| | | | | TOTAL | $710.00 |

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[X] A check in the amount of $710.00 in payment of the filing fee is transmitted herewith.

[ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Amend the specification by inserting before the first line the sentence: -- This is a [ ] continuation-in-part, of application No.: _____, filed

entitled _____

_____

_____.

[ ] Please charge $_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

Andrew Van Court
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

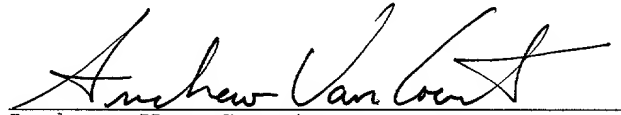| | | |
|---|---|---|
| Applicants | : | Howard W. Lutnick and Glenn D. Kirwin |
| Filed | : | Herewith |
| For | : | SYSTEMS AND METHODS FOR PROVIDING INTERACTIVE ASSISTANCE ON PURCHASE DECISION-MAKING |

BOX Patent Application
Commissioner for Patents
Washington, D.C. 20231

## NONPUBLICATION REQUEST
## UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby request that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

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Tel.: (212) 596-9000

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